



PATENT
NP4053

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/575,307
Applicants : Brovelli, et al.
Filed : May 19, 2000
Art Unit : 1645

For: ECHINACEA INDUCTION OF PHASE II ENZYMES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Arlington VA 20233-1450

Dear Sir:

In compliance with Applicant's duty of disclosure under 37 C.F.R. §1.56 and in conformance with 37 C.F.R. §1.97-§1.99, Applicants hereby submit additional references for consideration by the Examiner. Copies of each have been enclosed along with a completed copy of Form PTO-1449. Please charge our deposit account No. 01-1793 in the amount of \$180.00 and credit any overpayments for filing this Supplemental Information Disclosure Statement.

It is believed that none of the references, alone or in combination, disclose or suggest the invention claimed. It is Applicants desire, however, to have these references available in the record for both the Examiner and the public to see. Applicants, therefore, request that the Examiner review the entire disclosure of the above discussed reference and other information and make the above-described references and other information of record.

Dated: March 1, 2004

Respectfully submitted,

03/05/2004 BABRAHA1 00000053 011793 09575307
01 FC:1806 180.00 DA

Amy I. Ann, Reg. No. 44,498
Amway Corporation
7575 Fulton Street East
Ada, Michigan 49355
(616) 787-8208



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| | | |
|--|--------------------------|-------------------|
| <h1 style="margin:0;">FEE TRANSMITTAL for FY 2004</h1> <p style="font-size: small; margin: 5px 0;">Effective 10/01/2003. Patent fees are subject to annual revision.</p> | Complete if Known | |
| | Application Number | 09/575,307 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | Filing Date | May 19, 2000 |
| | First Named Inventor | Brovelli, et al |
| | Examiner Name | Michael V. Meller |
| | Art Unit | 1654 |
| TOTAL AMOUNT OF PAYMENT (\$) | \$180.00 | |
| | Attorney Docket No. | NP4053 |

METHOD OF PAYMENT (check all that apply)

Check
 Credit card
 Money Order
 Other
 None

Deposit Account:

Deposit Account Number: **01-1793**

Deposit Account Name: **Alticor Inc.**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below
 Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

| 1. BASIC FILING FEE | | 2. EXTRA CLAIM FEES FOR UTILITY AND | | 3. ADDITIONAL FEES | | Fee Description | Fee Paid |
|---------------------|--------------|-------------------------------------|--------------|---------------------------|--------------|--|----------|
| Large Entity | Small Entity | Large Entity | Small Entity | Large Entity | Small Entity | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | 1051 | 130 | Surcharge - late filing fee or oath | |
| 1002 | 340 | 2002 | 170 | 1052 | 50 | Surcharge - late provisional filing fee or cover sheet | |
| 1003 | 530 | 2003 | 265 | 1053 | 130 | Non - English specification | |
| 1004 | 770 | 2004 | 385 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1005 | 160 | 2005 | 80 | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| SUBTOTAL (1) | | | | | | | |
| | | | | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | | | 1251 | 110 | Extension for reply within first month | |
| | | | | 1252 | 420 | Extension for reply within second month | |
| | | | | 1253 | 950 | Extension for reply within third month | |
| | | | | 1254 | 1,480 | Extension for reply within fourth month | |
| | | | | 1255 | 2,010 | Extension for reply within fifth month | |
| | | | | 1401 | 330 | Notice of Appeal | |
| | | | | 1402 | 330 | Filing a brief in support of an appeal | |
| | | | | 1403 | 290 | Request for oral hearing | |
| | | | | 1451 | 1,510 | Petition to institute a public use proceeding | |
| | | | | 1452 | 110 | Petition to revive - unavoidable | |
| | | | | 1453 | 1,330 | Petition to revive - unintentional | |
| | | | | 1501 | 1,330 | Utility issue fee (or reissue) | |
| | | | | 1502 | 480 | Design issue fee | |
| | | | | 1503 | 640 | Plant issue fee | |
| | | | | 1460 | 130 | Petitions to the Commissioner | |
| | | | | 1807 | 50 | Processing fee under 37 CFR § 1.17(q) | |
| | | | | 1806 | 180 | Submission of Information Disclosure Statement | 180.00 |
| | | | | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| | | | | 1809 | 770 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | | | 1810 | 770 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | | | 1801 | 770 | Request for Continued Examination (RCE) | |
| | | | | 1802 | 900 | Request for expedited examination of a design application | |
| | | | | Other fee (specify) _____ | | | |
| | | | | SUBTOTAL (3) | | | (\$) |
| | | | | | | | \$180.00 |

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|------------------------|----------|
| | | 1001 | 770 | Utility filing fee | |
| | | 1002 | 340 | Design filing fee | |
| | | 1003 | 530 | Plant filing fee | |
| | | 1004 | 770 | Reissue filing fee | |
| | | 1005 | 160 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| | -20** = 0 | X | 0.00 |
| Independent Claims | -3** = 0 | X | 0.00 |
| Multiple Dependent | | | |

Large Entity **Small Entity**

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
| 1202 | 2202 | 18 | 9 | Claims in excess of 20 | |
| 1201 | 2201 | 86 | 43 | Independent claims in excess of 3 | |
| 1203 | 2203 | 290 | 145 | Multiple dependent claim, if not paid | |
| 1204 | 2204 | 86 | 43 | ** Reissue independent claims over original patent | |
| 1205 | 2205 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | (\$) |
| | | | | | \$0.00 |

**or number previously paid, if greater; For Reissues, see above

| | | | | | |
|---------------------|------------|-----------------------------------|---------------|-----------|--------------|
| SUBMITTED BY | | <i>Complete (if applicable)</i> | | | |
| Name (Print/Type) | Amy I. Ahn | Registration No. (Attorney/Agent) | 44,498 | Telephone | 616-787-8208 |
| Signature | | Date | March 4, 2004 | | |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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